

Institute of Public Administration,

38/4, Coles Road Cross,
Frazer Town,
Bengaluru – 560005.
Dated, October 15, 2019.

No.IPA/TPA/HSR/Posoco/007

To,

Shri N.L. Jain,
General Manager (C&M), Corporate Office
Power System Operation Corporation Limited,
61, IFCI Tower, 8 & 9 Floor, Nehru Place,
New Delhi -110019.

Subject:- Third Party Audit of *Suo Motu* Disclosure in respect of POSOCO for 2018-19 under Section 4(1)(b) of the Right to Information Act, 2005.

Sir,

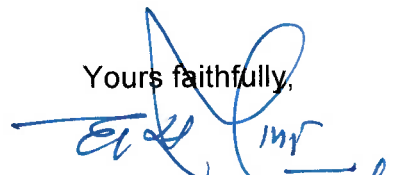
Kindly refer to your LOA No.CC/C&M/RTI/Audit/LT/CS-226/2357 dated 30.08.2019, on the subject cited above.

2. We have conducted third party Audit of *suo motu* disclosures of information for the year 2018-19 by Power System Operation Corporation Limited (POSOCO) under section 4 of the RTI Act, 2005, and in deference to the Government of India, Department of Personnel and Training's O.M. No.1/6/2011-IR, dated 22.09.2014, on the subject.

3. The Audit Report, which is based on the information, explanations and clarifications provided by the company, is enclosed.

4. You may kindly note that the assignment has been completed within the stipulated time. You are requested to please acknowledge the receipt of this letter, along with the Report.

Yours faithfully,



(Dr. H.S. Rana) 15/10

Principal Director

Encl.: As above.

Institute of Public Administration, Bengaluru (IPA) - 560005

THIRD PARTY AUDIT REPORT
To the General Manager (C&M), POSOCO

INTRODUCTION

A comprehensive framework for promoting transparency in the functioning of a public authority is mandated under section 4 of the Right to Information Act, 2005. In this context, the following provisions are significant:-

- (i) Section 4(1)(a) mandates that all records and documents of the public authority should be duly catalogued and indexed in a manner and form which facilitates the right to information under the said Act and that the public authority should ensure that all records and documents are computerized within a reasonable time and connected through a network all over the country on different systems so that the access to such records is facilitated.
- (ii) Section 4(1)(b) mandates *suo motu* disclosure of certain information by the public authority under its control.
- (iii) Section 4(1)(c) mandates publishing relevant facts while formulating important policies or announcing the policies which affect public.
- (iv) Section 4(1)(d) mandates providing reasons for its administrative and quasi-judicial decisions to affected persons.
- (v) Sub-sections (2), (3) and (4) of Section 4 provide for the modalities for *suo motu* disclosure or dissemination of certain information.


REPORT

2. We have conducted third party Audit of *suo motu* disclosures of information for the year 2018-19 by Power System Operation Corporation Limited (POSOCO) under section 4 of the RTI Act, 2005, and in deference to the Government of India, Department of Personnel and Training's O.M. No.1/6/2011-IR, dated 22.09.2014 on the subject. Based on the information, explanations and clarifications provided to us by the company, we report as under:-

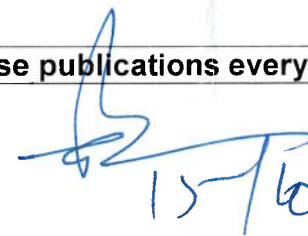
Handwritten signature and date: 15/10

THIRD PARTY AUDIT REPORT

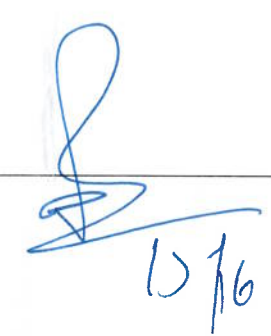
S. No.	Head of Information	Complied/partially complied/yet to be complied/not applicable	Remarks
1	2	3	4
(i)	the particulars of its organization, functions and duties;	Complied.	-
(ii)	the powers and duties of its officers and employees;	Complied.	-
(iii)	the procedure followed in the decision making process, including channels of supervision and accountability;	Complied.	Hyperlink may be provided to 'Delegation of Power'
(iv)	the norms set by it for the discharge of its functions;	Complied.	Hyperlink may be provided to 'Delegation of Power'
(v)	the rules, regulations, instructions, manuals and records, held by it or under its control or used by its employees for discharging its functions;	Yet to be complied.	The rules, regulations, instructions, manuals and records, held by the Company or under its control or used by its employees, which are non-exempt from disclosure under the RTI Act,, must be disclosed/provided with hyperlinks on the website.
(vi)	a statement of the <u>categories of documents</u> that are held by it or under its control;	Yet to be complied.	The documents that are held by the Company or under its control, which are non-exempt from disclosure under the RTI Act, must be uploaded on the website.
(vii)	the particulars of any arrangement that exists for consultation with, or representation by, the members of the public in relation to the formulation of its policy or implementation thereof;	Not applicable	-
(viii)	a statement of the boards, councils, committees	Yet to be	The details of the Board of Directors and


15/10

	and other bodies consisting of two or more persons constituted as its part or for the purpose of its advice, and as to whether meetings of those boards, councils, committees and other bodies are open to the public, or the minutes of such meetings are accessible for public;	complied.	the Committees appear to be incomplete. Complete details of the board of directors, councils, committees and other bodies, as referred to in Column 2, must be provided in the public domain. Whether meetings of the board, councils, committees and other bodies are open to the public, or the minutes of such meetings are accessible for public must be explicitly stated on the website.
(ix)	a directory of its officers and employees;	Complied.	Headings of the information should be properly super-scribed.
(x)	the monthly gross remuneration received by each of its officers and employees, including the system of compensation as provided in its regulations;	Yet to be complied.	A statement indicating the monthly gross remuneration received by each of the Company's officers and employees must be uploaded on the website.
(xi)	the budget allocated to each of its agencies, indicating the particulars of all plans, proposed expenditures and reports on disbursements made;	Not applicable.	-
(xii)	the manner of execution of subsidy programmes, including the amounts allocated and the details of beneficiaries of such programmes;	Not applicable.	-
(xiii)	particulars of recipients of concessions, permits or authorisations granted by it;	Not applicable.	-
(xiv)	details in respect of the information, available to or held by it, reduced in an electronic form;	Not applicable.	-
(xv)	the particulars of facilities available to citizens for obtaining information, including the working hours of a library or reading room, if maintained for public use;	Not applicable.	-
(xvi)	the names, addresses, phone numbers and email IDs of the Central Public Information Officers (CPIOs) at the Corporate Office and other offices;	Complied.	-
(xvii)	such other information as may be prescribed and thereafter update these publications every year:-		



(a) names, addresses, phone numbers and email IDs of Chief Vigilance Officer and Vigilance Officer(s)	Complied.	
(b) names, addresses, phone numbers and email IDs of First Appellate Authorities in Corporate Office and other offices	Complied.	
(c) Modes of payment of RTI fees	Yet to be complied.	
(d) RTI Applications and First Appeal and their Replies	Complied.	
(e) name, address, phone number and email ID of Liaison Officer for SCs/STs/PWDs/Ex-Servicemen	Complied.	
(f) name, address, phone number and email ID of Liaison Officer for OBCs	Complied.	
(g) name, address, phone number and email ID of Liaison Officer for EWSs	Complied.	
(h) name, address, phone number and email ID of Grievance Redressal Officer for EWSs	Complied.	
(i) name, address, phone number and email ID of Grievance Redressal Officer for persons with benchmark disabilities	Complied.	
(j) name, address, phone number and email ID of Grievance Officer	Complied.	
(k) Tenders Awarded during 2018-19	Complied.	-
(l) Transfer Policy and Transfer Orders during 2018-19	Complied.	
(m) CAG and PAC Paras and the Action Taken Reports of POSOCO during 2018-19	Complied.	
(n) Tours undertaken by Officials of POSOCO during 2018 (i) The details of Foreign/Domestic tours undertaken by Officers (ii) Information regarding foreign travel of officers	Complied.	



	(o) Reservation (i) Reservation Register (ii) Reservation Roster Register	Complied.	-
--	---	-----------	---

Place: New Delhi.

Date: 15.10.2019

